



Phase 0/00 – SBIR/STTR Application Support

PURPOSE

Phase 0/00 is designed to help small life science and healthcare-related businesses in Oregon access funding through the federal Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs.

The Phase 0/00 program provides up to \$5,000, to be used for SBIR/STTR application support services, including written critiques of Phase I, Phase II (Phase 00) or Fast-track proposals, grant writing assistance, and additional services that help increase the competitiveness of the application. Prior approval of reviewers and support services is required from Business Oregon and OCTRI.

GRANT REVIEW BENEFITS

- Strategic advice from experienced translational scientists
- Writing guidance and feedback on grant structure based on NIH criteria
- Connections with potential scientific consultants or advisors
- Recommendations for additional preliminary studies or data analysis
- Identification of application weaknesses prior to federal submission

GRANT PREPARATION SERVICES

- Biostatistics and statistical design support
- Business plan assistance (e.g., marketing consultants)
- Consultant or mentor services for preparation of the SBIR/STTR proposal, including grant writing or graphic designer services
- Preliminary data preparation or analysis
- Patent and intellectual property analysis
- OHSU Research Cores and Shared Resources
<http://www.ohsu.edu/xd/research/research-cores/>

CONTACT OCTRI & BUSINESS OREGON

To learn more or schedule a consult, please contact:

Jonathan Jubera, M.B.A.
OCTRI Awards Project Manager
jubera@ohsu.edu

Mark Brady, Business Oregon
Innovation Strategist
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KEY DATES

Phase 0/00 application due date:
Minimum of 4 weeks prior to
SBIR/STTR due date

Phase 0/00 award decision:
3-5 business days after receipt of
application

ELIGIBILITY REQUIREMENTS

Important note: Please refer to the Frequently Asked Questions for a list of exceptions to the eligibility requirements listed below.

- Applicants must be traded sector Oregon-based small businesses. Oregon Statute 285A.010 defines traded sector businesses as “industries in which member firms sell their goods or services into markets for which national or international competition exists.”
- Applicant businesses must be healthcare-related.
- Applicant must meet all federal SBIR or STTR eligibility requirements. Additional information can be found [here](#).
- Applicants must conduct at least 51% of the research they describe in their federal proposal within Oregon.

APPLICATION MATERIALS

The Phase 0/00 application can be accessed via REDCap, [here](#). Please be prepared to include the following documents (instructions for upload are given in the application).

1. An SBIR/STTR Phase 0 proposal, composed of:
 - Identification and significance of the problem
 - A brief background of the project
 - Related research or R&D
 - Commercialization potential
 - Outline of Phase I, II or Fast-track plan and timeline
2. Biosketches/resumes of key personnel
3. Completed questionnaire that identifies reviewers and/or services (found in the REDCap application form)
 - **Selecting reviewers:** *You may choose from a list of potential reviewers via OTRADI's BioMentors program, the BIP's list of OHSU reviewers, or you may submit other names for consideration. OCTRI and Business Oregon can assist with identifying reviewers pre- and post-award.*
 - **Application preparation services:** *Please select from the services listed on the REDCap online application, or describe other requested services.*

METHOD OF SELECTION AND EVALUATION CRITERIA

An evaluation team will review Phase 0/00 applications from eligible entities. The evaluation team members will be from OHSU and Business Oregon.

The team will judge submissions and recommend Phase 0/00 proposals for award. Funding recommendations will be compiled by BIP staff and reviewed by Business Oregon.

The criteria to rank and evaluate the Phase 0/00 proposals are:

1. Clear and compelling abstract
2. Significance of the proposed innovation
3. Technical merit and topic responsiveness
4. Commercial potential
5. Concise, coherent proposal and application
6. Team and available resources (qualifications, balance, etc.)
7. Detailed outline of Phase I, II or Fast-track plan and timeline

POST-AWARD FUNDING APPROVAL AND INVOICING

Payment of invoices for reviewer services and additional grant preparation costs:

1. Phase 0/00 awardee receives invoice(s) for application preparation services and sends an electronic copy of the invoice(s) to Mark Brady at Business Oregon for approval.
2. Business Oregon approves appropriate payments and issues check to Phase 0/00 awardee.
3. Phase 0/00 awardee pays the service provider for the work performed.

Note: Business Oregon typically processes and pays invoices quickly. Barring unforeseen circumstances, recipient will receive payment in time to pay the service provider before the invoice is due. This assumes the Phase 0/00 awardee performs step 1 in a timely fashion.

Budget approval process for additional grant preparation services:

1. Preliminary discussion with OCTRI and Business Oregon staff about potential uses of funds for additional grant preparation services.
2. Recipient submits a budget and budget justification electronically to OCTRI and Business Oregon.
3. Business Oregon will send electronic approval of the proposed budget (or seek clarification where necessary) to the recipient; work from service providers may then proceed.

Please direct program inquiries to:

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